



**SFI 2022 Fiber Sourcing Standard  
(Section 3)**

**November 14, 2020**

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## 1. General

### 1.1 Scope

#### What the *Fiber Sourcing Standard* Does

The *SFI 2022+5-2019 Fiber Sourcing Standard* promotes responsible *forestry practices* based on ~~14XX Principles, 13XX Objectives, 21XX Performance Measures and 55XX Indicators.~~ These *fiber sourcing* requirements include measures to broaden the practice of *biodiversity*, use forestry *best management practices* to *protect* water quality, provide outreach to landowners and utilize the services of forest management and harvesting professionals.

**Commented [SFI1]:** Will update once all revisions are final.

**Commented [SFI 2]:** 'protect' now defined as being the same intent as 'protection'

#### What the *Fiber Sourcing Standard* Covers

The *SFI 2022+5-2019 Fiber Sourcing Standard* applies to any organization with a *fiber sourcing* program that acquires roundwood ~~or and~~ field-manufactured or primary-mill residual chips, ~~pulp and veneer~~ to support a forest products facility. ~~Appendix 1 applies to any primary producer or secondary producer who uses the SFI Certified Sourcing on-product label or claim.~~

**Commented [SFI 3]:** Edit to remove of pulp and veneers. "Roundwood" covers pulp and veneer logs.

**Commented [SFI 4]:** Appendix 1 has been removed – requirement now addressed in the Certified Sourcing Standard.

*Certified Organizations that source all of their primary sources from forests certified to the SFI Forest Management Standard, American Tree Farm Standard or CSA Z809 Standard do not have to certify to the SFI Fiber Sourcing Standard.*

**Commented [SFI 5]:** This was an interpretation which is now incorporated into the Standard. (Interpretation #2, Part 2).

*Certified Organizations that do not own a manufacturing facility but who purchase roundwood for the purposes of reselling without a SFI claim are not required to certify to the SFI Fiber Sourcing Standard.*

**Commented [SFI 6]:** This was an interpretation which is now incorporated into the Standard. (Interpretation #2, Part 2).

#### Geographic Application of the *Fiber Sourcing Standard*

The *SFI 2022+5-2019 Fiber Sourcing Standard* applies to organizations in the United States and Canada that procure wood domestically or globally. ~~Secondary producers who utilize Appendix 1 can apply to any organization globally.~~

**Commented [SFI7]:** Deleted as Appendix 1 is now outside of the Fiber Sourcing Standard.

### 1.2 Additional Requirements

~~SFI Program Participants~~ *Certified Organizations* that own or have management authority for forestlands must also conform to the *SFI 2022+5-2019 Forest Management Standard*.

Use of the *SFI* on-product labels and claims shall follow Section 65 - Rules for Use of *SFI* On-Product Labels and Off-Product Marks as well as ISO 14020:2000.

### 1.3 References

This standard incorporates, by dated or undated reference, provisions from other publications. These normative and informative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated and undated references, the latest edition of the publication applies.

#### Normative References

- i. ISO/IEC 17021:2015~~4~~ - Conformity Assessment - Requirements for bodies providing audit and certification of management systems

- ii. ISO/IEC Guide 2:2004 Standardization and related activities - General vocabulary
- iii. Section ~~87~~ - SFI Policies
- iv. Section ~~109~~ – SFI ~~202215-2019~~ Audit Procedures and *Auditor* Qualifications and Accreditation
- v. Section ~~110~~ - Communications and Public Reporting
- vi. Section ~~143~~ - SFI Definitions
- vii. Interpretations for the Requirements for the SFI ~~202215-2019~~ Program

For the purposes of this standard, the relevant definitions given in ISO/IEC Guide 2:2004 apply, together with the definitions in the *SFI* Definitions (Section ~~143~~).

#### Informative References

- i. ISO 14001:201504 Environmental Management Systems - Specification with guidance for use
- ii. PEFC ST 1003:201810 Sustainable Forest Management Requirements, November ~~286~~, 201810
- iii. Section ~~76~~ - Guidance to *SFI* ~~202215-2019~~ Standards
- iv. Section ~~98~~ - *SFI* Standards Development and Interpretations Process
- v. Section ~~121~~ - Public Inquiries and Official Complaints
- vi. Section ~~132~~ - Optional Modules

### 1.4 Fiber Sourcing Standard Principles

*SFI Program Participants Certified Organizations* believe forest landowners have an important stewardship responsibility and a commitment to society, and they recognize the importance of maintaining viable commercial, family forest, and *conservation* forest land bases. They support *sustainable forestry practices* on forestland they manage and promote it on other lands. They support efforts to *safeguard/protect* private property rights, and to help all private landowners manage their forestland sustainably. In keeping with this responsibility, *SFI Program Participants Certified Organizations* shall have a written *policy* (or *policies*) to implement and achieve the following *principles*:

#### 1. Sustainable Forestry

To practice *sustainable forestry* to meet the needs of the present *while promoting without compromising* the ability of future generations to meet their own needs by practicing a land stewardship ethic that integrates *reforestation* and the managing, growing, nurturing and harvesting of trees for useful products, and *for the provision of ecosystem services* such as the

conservation of soil, air and water quality and quantity, climate change adaptation and mitigation~~carbon~~, biological diversity, wildlife and aquatic habitats, recreation and aesthetics.

## **2. Forest Productivity and Health**

To provide for regeneration after harvest, ~~and~~ maintain the health and productive capacity of the forest land base, and to *protect* and maintain *long-term* ~~forest and~~ soil health and productivity. In addition, to *protect* forests from economically or environmentally undesirable levels of wildfire, pests, diseases, *invasive* ~~species exotic plants and animals~~, and other damaging agents and thus maintain and improve *long-term forest health and productivity*.

## **3. Protection of Water Resources**

To *protect* and maintain the water quality and quantity of water bodies and *riparian areas* and to conform with forestry *best management practices* to *protect* water quality, to meet the needs of both human communities and ecological systems.

## **4. Protection of Biological Diversity**

To manage forests in ways that *protect* and promote *biological diversity*, including animal and plant species, *wildlife habitats*, ecologically and culturally important species, threatened and endangered species (i.e. *Forest with Exceptional Conservation Values*) and native forest cover types at multiple scales~~and ecological or natural community types~~.

## **5. Aesthetics and Recreation**

To manage the visual impacts of forest operations, and to provide recreational opportunities for the public.

## **6. Protection of Special Sites**

To manage lands that are ecologically, geologically or culturally important in a manner that takes into account their unique qualities.

**Commented [SF18]:** Edit to retain Principle 6 with the edit to align with Principle 4.

**Commented [SF19]:** Requirements now rolled into in P. 4.

## **7. Responsible Fiber Sourcing Practices in North America**

~~To use and promote among other forest landowners sustainable forestry practices that are both scientifically credible and economically, environmentally and socially responsible.~~

**Commented [SFI10]:** Now addressed in P. 12.

## **78. Legal Compliance**

To comply with applicable federal, provincial, state, and local *forestry* and related environmental laws, statutes, and regulations.

## **89. Research**

To support advances in sustainable forest management through *forestry* research, science and technology.

## **910. Training and Education**

To improve the practice of *sustainable forestry* through training and education *programs*.

## **1011. Community Involvement and Social Responsibility, and respect for Indigenous Rights**

To broaden the practice of *sustainable forestry* on all lands through community involvement, socially responsible practices, and through recognition and respect of *Indigenous Peoples'* rights and *traditional forest-related knowledge*.

### 112. Transparency

To broaden the understanding of forest certification to the *Fiber Sourcing* Standard by documenting certification audits and making the findings publicly available.

### 123. Continual Improvement

To continually improve the practice of forest management, and to monitor, measure and report performance in achieving the commitment to *sustainable forestry*.

### 134. ~~Responsible Fiber Sourcing including Illegal Logging in Offshore Fiber Sourcing~~

To ~~use and promote sustainable forestry across a diversity of ownership and management types avoid wood fiber from controversial sources illegally logged forests when procuring fiber outside of in the United States and Canada that is both scientifically credible and socially, environmentally, and economically responsible and globally. North America, and to avoid sourcing fiber from countries without effective social laws, and to avoid sourcing from controversial sources both domestically and internationally.~~

**Commented [SFI11]:** New Principle to align with new Objective which applies in the U.S., Canada and internationally.

**Commented [SFI12]:** Task Group to review - proposed edits to the Fiber Sourcing Principles

## 1.5 SFI 2022 ~~15-2019~~ Fiber Sourcing Objectives

A summary of the *SFI 2022~~15-2019~~ Fiber Sourcing Standard Objectives* follows:

### Objective 1. Biodiversity in Fiber Sourcing

To address the practice of *sustainable forestry* by conserving *biological diversity*.

**Why it Matters:** Conserving biological diversity protects wildlife habitat and ensures healthy forests.

### Objective 2. Adherence to Best Management Practices

To broaden the practice of *sustainable forestry* through the use of *best management practices* to protect water quality.

**Why it Matters:** Protecting water quality and quantity helps ensure safe and abundant drinking water for all.

### Objective 3. Use of Qualified Resource Professionals and Qualified Logging Professionals and Certified Logging Companies

To ~~promote encourage and utilize forest landowners to utilize the services of qualified logging professionals, certified logging companies professionals (where available) and qualified resource professionals and certified logging companies.~~

**Why it Matters:** Training logging professionals helps landowners implement effective forest management practices.

**Commented [SFI13]:** Logger Training Subgroup edit.

### Objective 4. Legal and Regulatory Compliance

To comply with all applicable laws and regulations including international, federal, provincial, state and local laws and regulations.

Why it Matters: Compliance with all laws ensures the protection of the environmental and social values of forests.

**Objective 5. Forestry Research, Science and Technology**

To invest in *forestry* research, science and technology, upon which sustainable forest management decisions are based, ~~and broaden the awareness of climate change impacts on forests, wildlife and biological diversity.~~

Commented [SFI14]: Forest Conservation Subgroup edit.

Why it Matters: Investing in forest research means healthier, more productive forests.

**Objective 6. Training and Education**

To improve the implementation of *sustainable forestry* practices through appropriate training and education *programs*.

Why it Matters: Training and educating foresters means forest management plans are more accurately implemented, ensuring the well-being of our forests.

**Objective 7. Community Involvement and Landowner Outreach**

To broaden the practice of *sustainable forestry* through public outreach, education, and involvement and to support the efforts of *SFI Implementation Committees*.

Why it Matters: Outreach and education improves the public's understanding of how important sustainable forestry is to local and global issues.

**Objective 8. Public Land Management Responsibilities**

To participate and implement sustainable forest management on *public lands*.

Why it Matters: Protects the environmental, social, and economic values of public forests.

**Objective 9. Communications and Public Reporting**

To increase transparency and to annually report progress on conformance with the *SFI Fiber Sourcing Standard*.

Why it Matters: Reporting the results of third-party audits increases the public's understanding of forest certification.

**Objective 10. Management Review and Continual Improvement**

To promote continual improvement in the practice of *sustainable forestry* by conducting a management review and monitoring performance.

Why it Matters: Encouraging continual improvement of sustainable forestry practices, a cornerstone of sustainable forestry.

Objective 11. Avoid Controversial Sources. To manage the risk of sourcing fiber products from *controversial sources*.

Commented [SFI 15]: New objective with the due diligence system requirements for assessing risk of fiber from controversial sources.

**Why it Matters:** A due diligence system minimizes the risk of sourcing from controversial sources whether in the United States, Canada or offshore.

**Objective 11. Promote Conservation of Biological Diversity, Biodiversity Hotspots and High-Biodiversity Wilderness Areas**

To promote the conservation of biological diversity, biodiversity hotspots and high-biodiversity wilderness areas in fiber-sourcing programs.

**Objective 12. Avoidance of Controversial Sources including Illegal Logging**

To avoid illegal logging in fiber-sourcing programs.

**Objective 13. Avoidance of Controversial Sources including Fiber Sourced from Areas without Effective Social Laws**

To avoid controversial sources in fiber-sourcing programs.

Commented [SFI 16]: Removed due to new Objective.

## 1.6 SFI 2022-2019 Fiber Sourcing Requirements

**Fiber sourcing within the United States and Canada (Objectives 1-10 apply):**

Commented [SFI 17]: Geographic restriction no longer applies.

### Objective 1. Biodiversity in Fiber Sourcing.

To address the practice of *sustainable forestry* by conserving *biological diversity*.

**Performance Measure 1.1.** Promotion and *conservation of biological diversity*.

Indicators:

1. *Certified Organization* shall address *conservation of biodiversity*, individually and/or collaboratively through cooperative efforts involving *SFI Implementation Committees*, through a *Program* which includes one or more of the following:
  - a. promotion of *biological diversity concepts* utilizing information from organizations such as World Resources Institute, The Nature Conservancy, NatureServe, Conservation International, State Wildlife Action Plans, State Forest Action Plans and assessments;
  - b. conducting local and regional level *landscape* assessments;
  - c. involvement with local or regional *conservation* efforts;
  - d. use of relevant information on *biological diversity* from credible sources (such as those noted above) in approved training and education programs; and
  - e. other credible approaches.

Commented [SFI 18]: Suggested edit for clarity.

**Performance Measure 1.2.** Promotion and *conservation of Forests with Exceptional Conservation Value*.

Indicators:

1. *Certified Organization* shall conduct an assessment, individually and/or collaboratively through cooperative efforts involving *s-the involvement of SFI Implementation Committees*, of *Forests with Exceptional Conservation Value*, defined as *critically imperiled and imperiled species and ecological communities*, within their *wood and fiber supply area(s)* and make the summary of the assessment available to *wood producers*.

Commented [SFI 19]: Edit to call out use of SICs when developing these assessments.

2. Program to address *Forests with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities)* -in harvests of purchased stumpage for all harvest operations through fiber sourcing activities such as:
  - a. use of *qualified logging professionals, certified logging companiesprofessionals* (where available), and *qualified resource professionals*; or
  - b. ~~through a~~ training program for *qualified logging professionals on how to recognize and protect Forests with Exceptional Conservation Value*; or
  - c. through in-the-forest verification by *certified logging companiesprofessionals*; or
  - d. forest landowner outreach; or
  - e. *SFI Implementation Committee* involvement in the assessment of *Forests with Exceptional Conservation Value*, and development of recommendations for *conservation*.

Commented [SFI20]: Subgroup edit to clarify intent.

3. *Certified Organization* shall conduct and incorporate the results of a *Forests with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities)* assessment ~~at the stand level to promote conservation of Forests with Exceptional Conservation Value~~ for *purchased stumpage*.

Commented [SFI21]: Subgroup edit to clarify intent.

## Objective 2. Adherence to *Best Management Practices*.

To broaden the practice of *sustainable forestry* through the use of *best management practices* to *protect* water quality.

**Performance Measure 2.1.** ~~*Program-Participants*~~*Certified Organizations* shall clearly define and implement *policies* to ensure that facility inventories and *fiber sourcing* activities do not compromise adherence to the *principles of sustainable forestry*.

Indicators:

1. ~~*Program to require that harvests of purchased stumpage comply with best management practices*~~
1. 2.—Use of written agreements for the purchase of raw material sourced directly from the forest is required and must include provisions requiring the use of *best management practices*.

Commented [SFI 22]: Indicator moved to 2.2.1.a

23. *Program* to address adverse weather conditions.

34. ~~*Program-Participants*~~*Certified Organizations* shall clearly define their *fiber sourcing policies* in writing and make them available to *wood producers*.

**Performance Measure 2.2.** ~~*Program-Participants*~~*Certified Organizations* shall, *individually or collaboratively* monitor the use of *best management practices* *relative to scale*.

Indicators:

1. A *verifiable monitoring system* to:
  - a. ~~*Program to require*~~*confirm that harvests of purchased stumpage comply with best management practices*;
  - b. monitor the use of *best management practices* by *wood producers* supplying the *Program Participant*; and
  - c. evaluate use of *best management practices* across the *wood and fiber supply area*.

2. Use of information from the *verifiable monitoring system* to maintain rates of conformance to *best management practices* and to identify areas for improved performance.

**Objective 3. Use of Qualified Resource Professionals, ~~and~~ Qualified Logging Professionals and Certified Logging Companies.**

To ~~promote encourage and utilize the use forest landowners to utilize the services of qualified logging professionals, ~~certified logging companies professionals (where available)~~ and qualified resource professionals and certified logging companies.~~

**Commented [SFI23]:** Edits from 2<sup>nd</sup> Logger Training Subgroup call Sept 29.

**Performance Measure 3.1.** *Certified Organizations*, shall promote the use of ~~through their relationships with wood producers and landowners, shall maximize the deliveries/purchases of their raw materials deliveries from qualified logging professionals, and shall encourage the use of qualified resource professionals and/or certified logging companies to improve sustainable forestry through their relationships with wood producers and landowners.~~ *Program Participants* ~~Certified Organizations shall encourage landowners to utilize the services of qualified logging professionals, certified logging companies professionals (where available), qualified resource professionals and in applying principles of sustainable forest management on their lands.~~

**Commented [SFI24]:** Edits from 2<sup>nd</sup> Logger Training Subgroup call Sept 29.

**Indicators:**

1. *Program* to promote the use of *qualified logging professionals, certified logging companies professionals (where available), and qualified resource professionals and/or certified logging companies* where available.

2. List of *qualified logging professionals, certified logging companies professionals* and maintained by a *Certified Organization/Program Participant, state or provincial agency, loggers' association or other organization.*

**Commented [SFI25]:** Requirements moved to new Ind. 3.2.1. Subgroup call Sept 29.

3. *Certified Organizations* shall have written agreements for the use of *qualified logging professionals or wood producers that have completed training programs and are recognized as qualified logging professionals.*

**Commented [SFI26]:** Requirement addressed in new PM 3.2. Subgroup call Sept 29. Originally was in Obj 6 at Ind. 6.1.5.

**Performance Measure 3.2.** Where available, *Certified Organizations*, through their relationships with *wood producers* and landowners, shall maximize ~~recognize the deliveries of raw materials from qualified logging professionals and/or potential benefit of certified logging companies to foster improvement in wood producers.~~

**Indicator:**

1. List of *qualified logging professionals and/or certified logging companies* maintained by a *Certified Organizations, state or provincial agency, loggers' association or other organization.* shall encourage the use of *certified logging companies* where they are available and after consideration of other factors involved in developing contractual relationships.
2. *Certified Organizations* shall have written agreements for the use of *qualified logging professionals or wood producers that have completed training programs and are recognized as qualified logging professionals and/or certified logging companies.*

**Commented [SFI27]:** Was Ind. 3.1.2.

**Commented [SFI 28]:** Staff edit after Subgroup call Sept 29. Intent is to emphasize the importance of using trained loggers for deliveries to SFI mills.

**Commented [SFI29]:** Originally was Ind. 6.1.5.

#### Objective 4. Legal and Regulatory Compliance.

To comply with all applicable laws and regulations including international, federal, provincial, state and local laws and regulations.

**Performance Measure 4.1.** ~~Program Participants~~ Certified Organizations shall comply with all applicable federal, provincial, state and local forestry and related social and environmental laws and regulations, and take steps to avoid illegal logging.

Indicators:

1. Access to relevant laws and regulations in appropriate locations.
2. System to achieve compliance with applicable international, federal, provincial, state or local laws and regulations.
3. Demonstration of commitment to legal compliance through *available regulatory action information.*

~~4. Program to assess the risk that the Program Participant's fiber sourcing program could acquire material from as defined by Controversial sources is considering some of the following:~~

- ~~a. communications with suppliers;~~
- ~~b. independent research;~~
- ~~c. contract documentation; and~~
- ~~d. maintain records.~~

~~5. Program to address any significant risk identified under 4.1.4.~~

**Performance Measure 4.2.** ~~Program Participants~~ Certified Organizations shall take appropriate steps to comply with all applicable social laws at the federal, provincial, state and local levels in the country in which the Certified Organization ~~Program Participant~~ operates.

Indicator:

1. Written *policy* demonstrating commitment to comply with social laws, such as those covering civil rights, equal employment opportunities, gender equality, diversity inclusion, anti-discrimination and anti-harassment measures, workers' compensation, *Indigenous Peoples'* rights, workers' and communities' right to know, prevailing wages, workers' right to organize, and occupational health and safety which meet the spirit and intent of the ILO Declaration on the Fundamental Principles and Rights at Work (1998).

#### Objective 5. Forestry Research, Science and Technology.

To invest in ~~forestry~~ research, science and technology, upon which sustainable forest management decisions are based, ~~and broaden the awareness of climate change impacts on forests, wildlife and biological diversity.~~

**Performance Measure 5.1.** ~~Program Participants~~ Certified Organizations shall individually and/or through cooperative efforts involving *SFI Implementation Committees*, associations or other partners provide in-kind support or funding for forest research to improve ~~forest health,~~

**Commented [SFI 30]:** Edit to remove "social" from PM 4.1 as it addressed in PM 4.2.

**Commented [SFI31]:** deleted as this is now addressed in the avoidance of controversial sources Objective 11.

**Commented [SFI 32]:** Edit to link to international in Objective text.

**Commented [SFI33]:** Deleted as this is now addressed in the avoidance of controversial sources Objective 11

**Commented [SFI34]:** Task Group to discuss this edit.

**Commented [SFI35]:** Comments regarding Research requirements were addressed by Forest Conservation Subgroup.

~~productivity, and~~ sustainable management of forest resources, and the environmental benefits and performance of forest products.

**Commented [SFI 36]:** Edit to align with revised Indicator 5.1.1.

Indicators:

1. Financial or in-kind support of research, ~~collaboratives, or knowledge transfer~~ to address ~~questions~~ key themes of relevance in the region of operations ~~as identified by Certified Organizations local stakeholders, communities and/or Indigenous Peoples.~~ ~~such as~~ Examples could include, but are not limited to, ~~areas of the following topics:~~

**Commented [SFI37]:** Forest Conservation Subgroup edit to include that Certified Organization can identify themes – good to clarify.

- a. ~~forest productivity~~ climate change adaptation and mitigation;
- b. water quality and quantity;
- c. biodiversity, *Forests with Exceptional Conservation Value*, and species maintenance and recovery;
- d. landscape ecology;
- e. Indigenous traditional ecological knowledge;
- f. ecosystem services or non-timber forest products;
- g. community issues engagement;
- h. forest health and productivity;
- i. support for Forest Inventory Analysis (FIA)
- j. SFI sponsored Conservation Grant Program research;
- k. the role of forests in the bioeconomy, and
- l. or similar themes areas which build broader understanding of the benefits and effects impacts of sustainable forest management ~~and forest sustainability or sustainable supply chains.~~

**Commented [SFI 38]:** Edit to better illustrate the range of potential topics for research.

**Commented [SFI39]:** Forest Conservation Subgroup edit to include supply chains.

- ~~2. Research on genetically engineered modified trees via forest tree biotechnology shall adhere to all applicable federal, state, and provincial regulations and international protocols ratified by the U.S. or Canada depending on jurisdiction of management. Refer to the SFI policy on forest tree biotechnology in Section 7.~~

**Commented [SFI40]:** Forest Conservation Subgroup accepted edit to remove Indicator and place reliance of the SFI Forest Tree Technology Policy.

23. Ensure that knowledge gained through research is shared, to the extent possible, to positively influence sustainable forest management. Consider the sharing of knowledge gained through research in appropriate formats to influence sustainable forest management.

**Commented [SFI 41]:** Edit to strengthen the requirement.

**Commented [SFI42]:** Forest Conservation Subgroup accepted edit to strengthen the requirement.

**Performance Measure 5.2.** ~~Program Participants~~ Certified Organizations shall individually and/or through cooperative efforts involving *SFI Implementation Committees*, associations or other partners develop, contribute to or use national, state, provincial or regional analyses in support of their *sustainable forestry programs*.

**Commented [SFI 43]:** Edit to bring more specificity to the Performance Measure.

Indicator:

1. Participation, individually and/or through cooperative efforts involving *SFI Implementation Committees* and/or associations at the national, state, provincial or regional level, in the development ~~or use of some of the following of information such as:~~
  - a. regeneration assessments;
  - b. growth and drain assessments;
  - c. best management practices implementation and conformance;
  - d. biodiversity conservation information for family forest owners; and
  - e. social, cultural or economic benefit assessments.

**Performance Measure 5.3.** *Program-ParticipantsCertified Organizations* shall individually and/or through cooperative efforts involving *SFI Implementation Committees*, associations or other partners broaden the awareness of *climate change* impacts on forests, *wildlife* and *biological diversity*.

Indicators:

1. Where available, monitor information generated from regional climate models on *long-term forest health, productivity* and *economic viability*.
2. *Program-ParticipantsCertified Organizations* are knowledgeable about *climate change* impacts on *wildlife, wildlife habitats* and *conservation* of *biological diversity* through international, national, regional or local *programs*.

### **Objective 6. Training and Education.**

To improve the implementation of *sustainable forestry* practices through appropriate training and education *programs*.

**Performance Measure 6.1.** *Program-ParticipantsCertified Organizations* shall require appropriate training of personnel and contractors so that they are competent to fulfill their responsibilities under the *SFI 2022-2019 Fiber Sourcing Standard*.

Indicators:

1. Written statement of commitment to the *SFI 2021-2019 Fiber Sourcing Standard* communicated throughout the organization, particularly to facility and woodland managers, *fiber sourcing* staff and field foresters.
2. Assignment and understanding of roles and responsibilities for achieving *SFI 2022-2019 Fiber Sourcing Standard objectives*.
3. Staff education and training sufficient to their roles and responsibilities.
4. Contractor education and training sufficient to their roles and responsibilities.

~~5. *Program-ParticipantsCertified Organizations* shall have written agreements for the use of *qualified logging professionals* and/or *certified logging professionals* (where available) and/or *wood producers* that have completed training programs and are recognized as *qualified logging professionals*.~~

Commented [SFI44]: Moved to Objective 3 – QLP and QRPs.

~~6. *Certified Organizations* shall strive to achieve 100 percent of their raw material deliveries from *qualified logging professionals*, or *certified logging companies* where they exist, who:~~

- ~~a. has completed the *SFI Implementation Committee* approved *wood producer* training program;~~
- ~~b. is an owner of, employee of, or contracted by the *wood producer*, and~~
- ~~c. has direct responsibility and is on site regularly to consistently carry out the roles and responsibilities of the *qualified logging professional* or *certified logging companies* under the *SFI 2022 Forest Management or Fiber Sourcing Standards*.~~

Commented [SFI45]: Indicator incorporated into Obj 3, PM 3.2.

**Performance Measure 6.2.** ~~Program-Participants~~*Certified Organizations* shall work individually and/or with *SFI Implementation Committees*, logging or *forestry* associations, or appropriate agencies or others in the *forestry* community to foster improvement in the professionalism of *wood producers* specific to qualified logging professionals.

Indicators:

1. Participation in or support of *SFI Implementation Committees* to establish criteria and identify delivery mechanisms for *wood producer* core training courses that allow individuals to attain qualified logging professional status. These criteria must that address at least the following and periodic continuing education that address:
  - a. awareness of *sustainable forestry principles* and the *SFI* program;
  - b. *best management practices*, including streamside management and road construction, maintenance and retirement;
  - ~~c. reforestation, invasive exotic plants and animals, forest resource conservation, aesthetics and special sites;~~
  - ~~dc.~~ awareness of responsibilities under the U.S. Endangered Species Act, the Canadian Species at Risk Act, *Forests with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities)*, and other measures to *protect biodiversity and wildlife habitat* (e.g., *Forests with Exceptional Conservation Value*);
  - ~~e.~~ awareness of rare forested natural communities as identified by provincial or state agencies, or by credible organizations such as NatureServe, The Nature Conservancy, etc.;
  - ~~fd.~~ logging safety;
  - ~~ge.~~ U.S. Occupational Safety and Health Administration (OSHA) and Canadian Centre for Occupational Health and Safety (CCOHS) regulations, wage and hour rules, and other provincial, state and local employment laws, and
  - f. other topics identified by Certified Organizations and/or SFI Implementation Committees that improve their responsibilities in meeting the SFI 2022 Standards.
  - ~~h.~~ transportation issues;
  - ~~i.~~ business management;
  - ~~j.~~ public *policy* and outreach; and
  - ~~k.~~ awareness of emerging technologies.
  
2. Participation in or support of *SFI Implementation Committees* to establish criteria and identify delivery mechanisms for *wood producer* continuing education training courses that shall be taken by qualified logging professionals at least once every two years to maintain their status. The continuing education training course(s) shall at least once every two years that address one or more of the following topics: The SIC approved wood producer training programs shall have a continuing education component with coursework that supports the current training programs;
  - a. awareness of sustainable forestry principles and the SFI program;
  - b. best management practices, including streamside management and road construction, maintenance and retirement;
  - c. reforestation, invasive species management, forest resource conservation, aesthetics and special sites;
  - d. awareness of rare forested natural communities as identified by provincial or state agencies, or by credible organizations such as NatureServe or The Nature Conservancy;
  - e. transportation issues;

**Commented [SFI146]:** Edit highlighting that these topics in 6.2.1 are the core course needed to attain QLP.

**Commented [SFI 47]:** Moved to continuing education - indicator 6.2.2.

**Commented [SFI 48]:** Moved to continuing education - indicator 6.2.2.

**Commented [SFI 49]:** Comments pointed to option to recognize other topics for as Core training.

**Commented [SFI 50]:** Moved to continuing education - indicator 6.2.2.

**Commented [SFI151]:** Edit to call out that CE must be at least once every two years to maintain QLP status.

**Commented [SFI 52]:** Aligns with comment above – repetition of core topics in CE for reinforcement.

**Commented [SFI 53]:** Edit to add specificity.

- f. business management;
- g. public policy and outreach;
- h. awareness of emerging technologies;
- i. logging safety; ~~and~~
- j. ~~the principles of sustainable forestry~~ other topics identified by *Certified Organization* and/or *SFI Implementation Committees* that improve their responsibilities in meeting the SFI 2022 Standards; and
- k. ~~observed trends regarding the effectiveness of the SFI Implementation Committee approved wood producer training programs;~~

**Commented [SFI 54]:** Same comment as above.

**Commented [SFI55]:** Replaced by new 6.2.2 j

**Performance Measure 6.3.** ~~Certified Organizations shall work individually and collaboratively with SFI Implementation Committees, logging or forestry associations, or appropriate agencies or others in the forestry community to foster improvement in the professionalism of wood producers specific to certified logging companies where they exist.~~

- ~~3.1. Participation in or support of SFI Implementation Committees to establish criteria for recognition of logger certification programs, where they exist, that include:~~
- a. ~~completion of SFI Implementation Committee recognized logger training programs and meeting continuing education requirements of the training program by key personnel;~~
  - b. ~~independent in the forest verification of conformance with the logger certification program standards;~~
  - e. ~~compliance with all applicable laws and regulations including responsibilities under the U.S. Endangered Species Act, the Canadian Species at Risk Act and other measures to protect wildlife habitat including such as Forest with Exceptional Conservation Value (critically imperiled and imperiled species and ecological communities);~~
  - d. ~~use of best management practices to protect water quality;~~
  - e. ~~adherence to a logging safety program;~~
  - f. ~~compliance with acceptable silviculture and utilization standards;~~
  - g. ~~aesthetic management techniques employed where applicable; and~~
  - h. ~~adherence to a management or harvest plan that is site specific and agreed to by the forest landowner; and~~
  - i. ~~independent verification that each crew has an individual who:~~
    - i. ~~has completed the SFI Implementation Committee approved wood producer training program;~~
    - ii. ~~is an owner of, employee of, or contracted by the wood producer; and~~
    - iii. ~~has direct responsibility and is on-site regularly to consistently carry out the roles and responsibilities of the wood producer;~~

**Commented [SFI56]:** Addressed in Objective 3 and new Certified Logging Company requirements.

## Objective 7. Community Involvement and Landowner Outreach.

To broaden the practice of *sustainable forestry* through public outreach, education, and involvement and to support the efforts of *SFI Implementation Committees*.

**Performance Measure 7.1.** ~~Program Participants~~ *Certified Organizations* shall support and promote efforts by consulting foresters, state, provincial and federal agencies, state or local groups, professional societies, *conservation organizations*, *Indigenous Peoples* and

governments, community groups, sporting organizations, labor, universities, extension agencies, the *American Tree Farm System*® and/or other landowner cooperative *programs* to apply *principles* of sustainable forest management.

Indicators:

1. Support, including financial, for efforts of *SFI Implementation Committees*.
2. Support individually or ~~and/or collaboratively~~ through cooperative efforts involving *SFI Implementation Committees*, education and outreach to forest landowners describing the importance of and providing implementation guidance on:
  - a. *best management practices*;
  - b. *reforestation* and *afforestation*;
  - c. *visual quality management*;
  - d. *conservation objectives*, such as of critical *wildlife habitat* elements, biodiversity, *threatened and endangered* species, and *Forests with Exceptional Conservation Value*;
  - e. management of harvest residue (e.g., slash, limbs, tops) considers economic, social, environmental factors (e.g., organic and nutrient value to future forests) and other utilization needs;
  - f. control of *invasive species-exotic-plants-and-animals*;
  - g. characteristics of *special sites*; ~~and~~
  - h. reduction of wildfire risk;
  - i. *use of qualified logging professionals, and qualified resource professionals and/or certified logging companies*;
  - j. *awareness of SFI, and*
  - k. *reporting of inconsistent practices*.
3. Participation in efforts to support or promote *conservation* of managed forests through voluntary market-based incentive *programs* such as current-use taxation *programs*, *Forest Legacy Program*, ~~or~~ *conservation easements*, *federal, state, or provincial cost share programs, or the SFI Conservation Grant program*.
4. ~~*Program Participants*~~*Certified Organizations* are knowledgeable about credible regional *conservation* planning and priority-setting efforts that include a broad range of *stakeholders* and have a *program* to take into account the results of these efforts in planning.
5. ~~*Program Participants*~~*Certified Organizations* with *fiber sourcing programs*, either individually or collaboratively with *SFI Implementation Committees*, encourage forest landowners to participate in forest management certification *programs*.

**Performance Measure 7.2.** ~~*Program Participants*~~*Certified Organizations* shall *individually or through cooperative efforts involving SFI Implementation Committee(s)* support and promote, at the state, provincial or other appropriate levels, mechanisms for public outreach, education and involvement related to sustainable forest management.

Indicator:

1. Periodic educational opportunities for the public promoting *sustainable forestry*, such as
  - a. *Project Learning Tree*; field tours, seminars, websites, webinars or workshops;
  - ~~e.~~b. educational trips;

Commented [SFI157]: Inclusion of Certified Logging Companies

Commented [SFI 58]: Edit to call out additional topics for outreach.

- d.c. self-guided forest management trails;
- d. publication of articles, educational pamphlets or newsletters; or
- fe. support for national, state, provincial, and local forestry organizations and soil and water conservation districts, and
- f. engagement and support of teachers and/or students through programs such as Project Learning Tree.

Commented [SFI59]: Edit to call out organizations such as PLT.

**Performance Measure 7.3.** ~~Program Participants~~ *Certified Organizations* shall individually and/or through cooperative efforts involving SFI Implementation Committee(s) establish, at the state, provincial, or other appropriate levels, procedures to address concerns raised by loggers, consulting foresters, employees, unions, stakeholders, the public or other ~~Program Participants~~ *Certified Organizations* regarding practices that appear inconsistent with the *SFI Standard principles and objectives*.

Commented [SFI 60]: Edit to include "stakeholders".

**stakeholder:** A person, group, community or *organization* with an interest in the subject of the standard.

Indicators:

1. Support for *SFI Implementation Committees* (e.g., toll-free numbers and other efforts) to address concerns about apparent nonconforming ~~practices~~.
2. Process to receive and respond to public inquiries. *SFI Implementation Committees* shall submit data annually to *SFI Inc.* regarding concerns received and responses.

### Objective 8. Public Land Management Responsibilities.

To participate and implement sustainable forest management on *public lands*.

**Performance Measure 8.1.** ~~Program Participants~~ *Certified Organizations* with forest management responsibilities on *public lands* shall participate in the development of *public land* planning and management processes.

Indicators:

1. Involvement in *public land* planning and management activities with appropriate governmental entities and the public.
2. Appropriate contact with local *stakeholders* over forest management issues through state, provincial, federal or independent collaboration.

### Objective 9. Communications and Public Reporting.

To increase transparency and to annually report progress on conformance with the *SFI Fiber Sourcing Standard*.

**Performance Measure 9.1.** A *Certified Organization* ~~Program Participant~~ shall provide a summary audit report, prepared by the *certification body*, to *SFI Inc.* after the successful completion of a certification, recertification or surveillance audit to the *SFI 2022-15-2019 Fiber Sourcing Standard*.

Indicator:

1. The summary audit report submitted by the *Certified Organization/Program Participant* (one copy must be in English), shall include, at a minimum,
  - a. a description of the audit process, *objectives* and scope;
  - b. a description of substitute *indicators*, if any, used in the audit and a rationale for each;
  - c. the name of *Certified Organization/Program Participant* that was audited, including its *SFI* representative;
  - d. a general description of the *Program Participant's/Certified organization's* forestland, fiber procurement and/or manufacturing operations included in the audit;
  - e. the name of the *certification body* and *lead auditor* (names of the *audit team* members, including *technical experts* may be included at the discretion of the *audit team* and *Program Participant*);
  - f. the dates the audit was conducted and completed;
  - g. a summary of the findings, including general descriptions of evidence of conformity and any nonconformities and corrective action plans to address them, opportunities for improvement, and exceptional practices; and
  - h. the certification decision.

The summary audit report will be posted on the *SFI Inc.* website ([www.sfiprogram.org](http://www.sfiprogram.org)) for public review.

**Performance Measure 9.2.** *Program Participants/Certified Organizations* shall report annually to *SFI Inc.* on their conformance with the *SFI Fiber Sourcing Standard*.

Indicators:

1. Prompt response to the *SFI* annual progress report survey.
2. Record keeping for all the categories of information needed for *SFI* annual progress report surveys.
3. Maintenance of copies of past survey reports to document progress and improvements to demonstrate conformance to the *SFI 2022-2019 Fiber Sourcing Standard*.

### **Objective 10. Management Review and Continual Improvement.**

To promote continual improvement in the practice of *sustainable forestry* by conducting a management review and monitoring performance.

**Performance Measure 10.1.** *Program Participants/Certified Organizations* shall establish a management review system to examine findings and progress in implementing the *SFI 2022-2019 Fiber Sourcing Standard*, to make appropriate improvements in *programs*, and to inform their employees of changes.

Indicators:

1. System to review commitments, *programs* and procedures to evaluate effectiveness.
2. System for collecting, reviewing and reporting information to management regarding progress in achieving *SFI 2022-2019 Fiber Sourcing Standard objectives* and *performance measures*.

3. Annual review of progress by management and determination of changes and improvements necessary to continually improve conformance to the *SFI 2022-15-2019 Fiber Sourcing Standard*.

**Objective 11. Avoid Controversial Sources.**

To manage the risk of sourcing fiber from *controversial sources*.

**Controversial sources are defined as:**

- a. Forest activities which are not in compliance with applicable state, provincial, federal, or international laws.
- b. Forest activities which are contributing to regional declines in *habitat conservation* and *species protection* (including *biodiversity* and *special sites Alliance for Zero Extinction* sites and key Biodiversity Areas, *threatened and endangered species*).
- c. *Conversion sources* originating from regions experiencing forest area decline.
- d. Forest activities where the spirit of the ILO Declaration on Fundamental Principles and Rights at work (1998) are not met.
- e. Forest activities where the spirit of the United Nations Declaration on the Rights of Indigenous Peoples (2007) are not met.
- f. *Fiber sourced from areas without effective social laws*
- g. *Illegal Logging* including trade in CITES (The Convention on International Trade in Endangered Species of Wild Fauna and Flora) listed species.
- h. Conflict Timber.
- i. Genetically modified trees via forest tree biotechnology.

**Commented [SFI 61]:** New objective with the due diligence system requirements for assessing risk of fiber from controversial sources.

**Commented [SFI 62]:** Avoidance of Controversial Sources Subgroup edit to include definition of controversial sources in the Objective.

**Performance Measure 11.1.** The *Certified Organization* shall have a process to access and collect information regarding the sources of its procured fiber.

**Indicators:**

1. The *Certified Organization* shall collect information on the source of the fiber, through a due diligence system to address the likelihood of sourcing from *controversial sources*. This includes:
  - a. Identification of tree species, or list of tree species potentially included, by their common name and or their scientific name where applicable.
  - b. Country and region of harvest of the fiber.
2. The *Certified Organization* can consider fiber low risk and exempt from further due diligence when:
  - a. Procured from a supplier with a valid *SFI Section 2 (SFI Forest Management Standard)* certificate, or other *acceptable forest management standards*, that clearly indicates that the source is within the scope of the certification; or
  - b. Procured from a supplier with a valid *SFI Section 3 (SFI Fiber Sourcing Standard)* certificate that clearly indicates that the source is within the scope of the certification and/or the due diligence system; or

- c. Procured from a supplier with a valid SFI Section 4 (*SFI Chain of Custody Standard*), or other credible chain of custody standard certificate and/or due diligence system;  
or
- d. Procured from a supplier with a valid SFI Section 5 (*SFI Certified Sourcing Standard*) certificate that clearly indicates that the source is within the scope of the certification and/or due diligence system; or
- e. Sourced from recycled forest-based products.

**Commented [SFI63]:** Avoidance of Controversial Sources  
Subgroup edit.

**Performance Measure 11.2.** The *Certified Organization* shall individually and/or through cooperative efforts involving *SFI Implementation Committee(s)* have a process to conduct a risk assessment.

**Commented [SFI64]:** Edit calling out option for cooperative approach to risk assessment.

Indicators:

1. The *Certified Organization* shall develop and implement a due diligence system (DDS) to manage the risk of sourcing fiber from *controversial sources* in accordance with the requirements of this standard.
2. The DDS risk assessment shall classify material into “low” and “high” risk categories.
3. The risk assessment shall be carried out at the regional level unless risk is consistent at the national level.
4. The *Certified Organization* shall review, and if necessary, revise its risk ratings on at least an annual basis.
5. The *Certified Organization* shall conduct a risk assessment before the first time of delivery for each new region of supply.

**Performance Measure 11.3.** The *Certified Organization* shall have a process to assess substantiated concerns.

Indicators:

1. The *Certified Organization* shall have a *program* to assess any substantiated concern. A substantiated concern is information supported by proof or evidence, indicating that fiber originates from *controversial sources*. Substantiated concerns can be concerns by third parties, as well as concerns of the *Certified Organization* itself.
2. The *Certified Organization* shall update its risk assessment to reflect substantiated concerns associated with a region of origin identified through internal data or public data.

**Performance Measure 11.4.** The *Certified Organization* shall have a process to mitigate the risk of sourcing controversial fiber from high risk areas fiber.

**Commented [SFI 65]:** Edit to clarify.

**Commented [SFI66]:** Guidance was developed to assist with defining scope and scale of the assessment when sourcing from high risk areas.

Indicators:

1. Where the risk assessment determines high risk, the *Certified Organization* shall implement a *program* to mitigate such risk and require a signed contract and/or self-declaration that the supplied fiber does not originate from *controversial sources*.

2. For each supply source where the risk is high, the risk assessment shall also identify the specific verifiable controls that the supplier has in place to substantially reduce the risk of sourcing fiber from *controversial sources*.
3. Where a *Certified Organization* receives fiber, and then learns the fiber ~~is~~ *may be* from *controversial sources*, if possible, the fiber shall be segregated and prevented from entering the supply chain. *If fiber has already entered the supply chain and cannot be segregated additional corrective measures shall be implemented to avoid future controversial sources.* *If subsequent verification demonstrates that the risk of this fiber originating from *controversial sources* is low, the fiber can re-enter the supply chain.*
4. The *Certified Organization* shall identify the verifiable measures to be implemented across the full supply chain for each source of supply identified as high risk to ensure that the supply is not from *controversial sources* which will include:
  - a. assessing the operating effectiveness of verifiable measures, through field-based verification.
  - b. for direct sources, include field and document verification of *controversial sources* risk factors back to the forest units from which fiber is sourced.
  - c. for indirect sources, include site and document verification of suppliers (such as lumber suppliers, chip suppliers and wood yards) to establish the absence of *controversial sources* risk factors in their inputs and sample-based field verification of their supply sources back to the forest units from which they source fiber.
5. Where sampling is conducted as part of the verification program, the sampling program should be risk based to draw valid conclusions across all fiber inputs.

Commented [SFI67]: Edit from Avoidance of Controversial Sources Subgroup

**Performance Measure 11.5.** The *Certified Organization* shall have a process to avoid *controversial sources*.

Indicator:

1. Where the verifiable *measures in Performance Measure 11.4* are not considered to be effective in reducing the risk of receiving fiber from *controversial sources* to low, fiber from these sources shall be avoided.

Commented [SFI 68]: Edit to link back to PM 11.4.

#### **Fiber sourcing outside the United States and Canada (Objectives 11-13 apply):**

**Objective 11. Promote Conservation of Biological Diversity, Biodiversity Hotspots and High-Biodiversity Wilderness Areas.** ~~To promote the conservation of biological diversity, biodiversity hotspots and high-biodiversity wilderness areas in fiber-sourcing programs:~~

**Performance Measure 11.1.** ~~Program-Participants shall ensure that their fiber-sourcing programs support the principles of sustainable forestry, including efforts to promote conservation of biological diversity.~~

Indicators:

1. ~~Fiber-sourcing from areas outside the United States and Canada promotes conservation of biological diversity, utilizing information from the following sources:~~

- a. ~~biodiversity hotspots and high biodiversity wilderness areas as identified by Conservation International; and~~
- b. ~~rare species and habitat information derived from organizations such as the World Resources Institute, the Alliance for Zero Extinction, World Wildlife Fund, the International Union for Conservation of Nature and Nature Serve.~~

~~2. Program with direct suppliers to promote the principles of sustainable forestry;~~

~~3. Documented information that includes knowledge about direct suppliers' application of the principles of sustainable forestry;~~

**Objective 12. Avoidance of Controversial Sources including Illegal Logging.**

To avoid illegal logging in fiber sourcing programs.

**Performance Measure 12.1.** Program Participants shall ensure that their fiber sourcing programs support the principles of sustainable forestry, including efforts to reduce the risk of illegal logging.

(See Section 7—SFI Policies.)

Indicators:

- 1. Process to assess the risk that the Program Participant's fiber sourcing program could acquire material from illegal logging such as consulting information from the World Resources Institute Risk Information Tool, the World Bank Legal Rights Index, or Transparency International;
- 2. Program to address any significant risk identified under 12.1.1.
- 3. Program with direct suppliers to promote the principles of sustainable forestry;
- 4. Documented information that includes knowledge about direct suppliers' application of the principles of sustainable forestry;

**Objective 13. Avoidance of Controversial Sources including Fiber Sourced from Areas without Effective Social Laws.** To avoid controversial sources in fiber sourcing programs;

**Performance Measure 13.1.** Program Participants shall avoid controversial sources and encourage socially sound practices.

Indicators:

- 1. Process to assess the risk that the Program Participant's fiber sourcing could take place in countries without effective laws addressing the following:
  - a. workers' health and safety;

**Commented [SFI 69]:** With the new proposed Objective 11, these objectives are now redundant since the new Objective 11 applies in the U.S. Canada and globally.